

Community Care Licensing Division  
 Quality Assurance, Advocacy and Technical Support Bureau

**Preschool Child Care Centers  
 Most Common Deficiencies for All Visit Types in 2018**

This is an analysis of the most common deficiencies cited in 2018 for Preschool Child Care Centers (CCC) for all visit types. The Quality Assurance Unit reviewed all 6,207 deficiencies cited. This list is limited to those deficiencies that are equal to two percent (2%) or more of the total number of deficiencies cited.

These requirements can be found in Title 22 of the California Code of Regulations, Division 12.

| Most common deficiencies cited when citations were issued during CCC all visit types   | Regulation Section Title   | Regulation Section | This deficiency's percentage of the total deficiencies cited for all visits |
|--|--|--------------------|---|
| No child(ren) shall be left without the supervision of a teacher at any time, except as specified in Sections 101216.2(e)(1) and 101230(c)(1). Supervision shall include visual observation.   | Responsibility for Providing Care and Supervision  | 101229(a)(1)       | 9%  |
| Effective January 1, 2018, all staff of Child Care Centers and Family Child Care Homes are required to complete the Mandated Reporter Training. New staff have 90 days to complete the training. The training must be renewed every 2 years. | Availability of information regarding detecting and reporting child abuse and neglect; training for mandated reporter who is licensed day care provider, administrator, or employee of a licensed child day care facility; proof of completion | 1596.8662.1        | 5%  |
| The child care center shall be clean, safe, sanitary and in good repair at all times.  | Buildings and Grounds  | 101238(a)          | 5%  |

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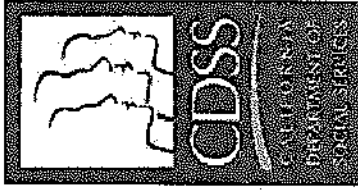
| Most common deficiencies cited when citations were issued during CCC all visit types   | Regulation Section Title   | Regulation Section           | This deficiency's percentage of the total deficiencies cited for all visits |
|--|--|------------------------------|---|
| The day care center shall maintain documentation of the required immunizations or exemptions from immunization, as set forth in this section, in the person's personnel record that is maintained by the day care center.  | Employees or volunteers at day care center; immunization requirements; records; exemptions | H&S 1596.7995 <sub>2</sub>   | 5%  |
| A person shall not be employed or volunteer at a day care center if he or she has not been immunized against influenza, pertussis, and measles. Each employee and volunteer shall receive an influenza vaccination between August 1 and December 1 of each year. | Employees or volunteers at day care center; immunization requirements; records; exemptions | H&S 1596.7995(a)(1)          | 4%  |
| The person who signs the child in/out shall use his/her full legal signature and shall record the time of day. The person who brings the child to, and removes the child from, the center shall sign the child in/out.   | Sign In and Sign Out   | 101229.1(a)(1) & 101229.1(b) | 3%  |
| Each child shall be free from corporal or unusual punishment, humiliation, intimidation, ridicule, coercion, threat, mental abuse, or other actions of a punitive nature.  | Personal Rights  | 101223(a)(3)                 | 3%  |
| There shall be a ratio of one teacher supervising no more than 12 children in attendance except as specified in (b) and (c).   | Teacher - Child Ratio  | 101216.3(a)                  | 3%  |

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| Most common deficiencies cited when citations were issued during CCC all visit types  | Regulation Section Title                      | Regulation Section | This deficiency's percentage of the total deficiencies cited for all visits |
|---|---|--------------------|---|
| Prior to working or volunteering in a licensed child care facility, all individuals subject to a criminal record review shall request a transfer of a criminal record clearance from another facility or Trustline. | Criminal Record Clearance                     | 101170(e)(2)       | 3%  |
| A report shall be made to the Department within 24 hours of the occurrence of any unusual incident as specified.  | Reporting Requirements                        | 101212(d)          | 2%  |
| Disinfectants, cleaning solutions, poisons and other items that could pose a danger if readily available to children shall be stored where inaccessible to children.  | Buildings and Grounds                         | 101238(g)          | 2%  |
| Each child shall be accorded safe, healthful and comfortable accommodations, furnishings and equipment.   | Personal Rights                               | 101223(a)(2)       | 2%  |
| Each child shall be accorded dignity in his/her personal relationships with staff, and other persons.   | Personal Rights                               | 101223(a)(1)       | 2%  |
| A licensee shall not operate a child care center beyond the conditions and limitations specified on the license, including the capacity limitation.   | Limitations on Capacity and Ambulatory Status | 101161(a)          | 2%  |
| Prior to working or volunteering in a licensed child care facility, all individuals subject to a criminal record review shall obtain a clearance or criminal record exemption.                                      | Criminal Record Clearance                     | 101170(e)(1)       | 2%  |

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1. Health and Safety Section 1596.8662 includes subsections that on or before March 30, 2018, a person who, on January 1, 2018, is a licensed child care provider, administrator, or employee of a licensed child day care facility shall complete the mandated reporter training provided pursuant to paragraphs (2) and (3) of subdivision (a), and shall complete renewal mandated reporter training every two years following the date on which he or she completed the initial mandated reporter training. On and after January 1, 2018, a person who becomes an administrator or employee of a licensed child day care facility shall complete the mandated reporter training provided pursuant to paragraphs (2) and (3) of subdivision (a) within the first 90 days that he or she is employed at the facility and shall complete renewal mandated reporter training every two years following the date on which he or she completed the initial mandated reporter training. Citations issued in this section included one or more subsections.
2. Health and Safety Section 1596.7995 includes subsections that require a person shall not be employed or volunteer at a family day care home if he or she has not been immunized against influenza, pertussis, and measles; the requirement for annual influenza immunization between August 1 and December 1 of each year, specifies the conditions in which a person may be exempt, and the requirement for documentation of required immunizations or exemption be contained in the person's personnel record maintained by the family day care home. Citations issued in this section included one or more of these subsections.



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## Infant Child Care Centers Most Common Deficiencies for All Visit Types in 2018

This is an analysis of the most common deficiencies cited in 2018 for Infant Child Care Centers (INFCCC) for all visit types. The Quality Assurance Unit reviewed all 1,610 deficiencies cited. This list is limited to those deficiencies that are equal to two percent (2%) or more of the total number of deficiencies cited.

These requirements can be found in Title 22 of the California Code of Regulations, Division 12.

| Most common deficiencies cited when citations were issued during INFCCC all visit types  | Regulation Section Title                                      | Regulation Section | This deficiency's percentage of the total deficiencies cited for all visits |
|--|---|--------------------|---|
| Each child shall be accorded safe, healthful and comfortable accommodations, furnishings and equipment.  | Personal Rights   | 101223(a)(2)       | 6%  |
| There shall be a ratio of one teacher for every four infants in attendance.  | Staff-Infant Ratio  | 101416.5(b)        | 6%  |
| In addition to Section 101229, the following shall apply: Each infant shall be constantly supervised and under direct visual observation and supervision by a staff person at all times. Under no circumstances shall ANY infant be left unattended. | Responsibility for Providing Care and Supervision for Infants | 101429(a)(1)       | 4%  |
| The written infant needs and services plan shall be updated at least quarterly, or as often as necessary to assure its accuracy.   | Modifications to Infant Needs and Services Plan               | 101419.3(a)        | 4%  |

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 Infant Child Care Centers - Most Common Deficiencies in 2018 for All Visit Types

| Most common deficiencies cited when citations were issued during INFCCC all visit types   | Regulation Section Title   | Regulation Section | This deficiency's percentage of the total deficiencies cited for all visits |
|---|--|--------------------|---|
| The day care center shall maintain documentation of the required immunizations or exemptions from immunization, as set forth in this section, in the person's personnel record that is maintained by the day care center. | Employees or volunteers at day care center; immunization requirements; records; exemptions | H&S<br>1596.79951  | 3%  |
| No child(ren) shall be left without the supervision of a teacher at any time, except as specified in Sections 101216.2(e)(1) and 101230(c)(1). Supervision shall include visual observation.                              | Responsibility for Providing Care and Supervision  | 101229(a)(1)       | 3%  |
| Prior to working or volunteering in a licensed child care facility, all individuals subject to a criminal record review shall request a transfer of a criminal record clearance from another facility or Trustline.       | Criminal Record Clearance  | 101170(e)(2)       | 2%  |
| The child care center shall be clean, safe, sanitary and in good repair at all times.   | Buildings and Grounds  | 101238(a)          | 2%  |
| The licensee shall not exceed the conditions, limitations and capacity specified in the license.  | Limitations on Capacity and Ambulatory Status  | 101161(a)          | 2%  |

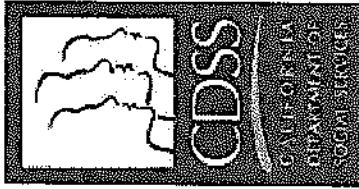
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 Infant Child Care Centers - Most Common Deficiencies in 2018 for All Visit Types

| Most common deficiencies cited when citations were issued during INFCCL all visit types   | Regulation Section Title  | Regulation Section                          | This deficiency's percentage of the total deficiencies cited for all visits |
|---|---|---|---|
| <p>A person shall not be employed or volunteer at a day care center if he or she has not been immunized against influenza, pertussis, and measles. Each employee and volunteer shall receive an influenza vaccination between August 1 and December 1 of each year.</p> | <p>Employees or volunteers at day care center; immunization requirements; records; exemptions</p>   | <p>H&amp;S<br/>1596.7995(a)(1)</p>          | <p>2%</p>   |
| <p>A report shall be made to the Department within 24 hours of the occurrence of any unusual incident as specified.</p>   | <p>Reporting Requirements</p>   | <p>101212(d)</p>                            | <p>2%</p>   |
| <p>Effective January 1, 2018, all staff of Child Care Centers and Family Child Care Homes are required to complete the Mandated Reporter Training. New staff have 90 days to complete the training. The training must be renewed every 2 years.</p>                     | <p>Availability of information regarding detecting and reporting child abuse and neglect; training for mandated reporter who is licensed day care provider, administrator, or employee of a licensed child day care facility; proof of completion</p> | <p>1596.8662</p>                            | <p>2%</p>   |
| <p>The person who signs the child in/out shall use his/her full legal signature and shall record the time of day. The person who brings the child to, and removes the child from, the center shall sign the child in/out.</p>   | <p>Sign In and Sign Out</p>   | <p>101229.1(a)(1)<br/>&amp; 101229.1(b)</p> | <p>2%</p>   |

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Infant Child Care Centers - Most Common Deficiencies in 2018 for All Visit Types

1. Health and Safety Section 1597.622 includes subsections that require a person shall not be employed or volunteer at a family day care home if he or she has not been immunized against influenza, pertussis, and measles; the requirement for annual influenza immunization between August 1 and December 1 of each year, specifies the conditions in which a person may be exempt, and the requirement for documentation of required immunizations or exemption be contained in the person's personnel record maintained by the family day care home. Citations issued in this section included one or more of these subsections.





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## School-Age Child Care Centers Most Common Deficiencies for All Visit Types in 2018

This is an analysis of the most common deficiencies cited in 2018 for School-Age Child Care Centers (SACCC) for all visit types. The Quality Assurance Unit reviewed all 740 deficiencies cited. This list is limited to those deficiencies that are equal to two percent (2%) or more of the total number of deficiencies cited.

These requirements can be found in Title 22 of the California Code of Regulations, Division 12.

| Most common deficiencies cited when citations were issued during SACCC all visit types   | Regulation Section Title   | Regulation Section | This deficiency's percentage of the total deficiencies cited for all visits |
|--|--|--------------------|---|
| No child(ren) shall be left without the supervision of a teacher at any time, except as specified in Sections 101216.2(e)(1) and 101230(c)(1). Supervision shall include visual observation.   | Responsibility for Providing Care and Supervision  | 101229(a)(1)       | 8%  |
| Effective January 1, 2018, all staff of Child Care Centers and Family Child Care Homes are required to complete the Mandated Reporter Training. New staff have 90 days to complete the training. The training must be renewed every 2 years. | Availability of information regarding detecting and reporting child abuse and neglect; training for mandated reporter who is licensed day care provider, administrator, or employee of a licensed child day care facility; proof of completion | 1596.8662.1        | 5%  |

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 School-Age Child Care Centers - Most Common Deficiencies in 2018 for All Visit Types

| Most common deficiencies cited when citations were issued during SACCC all visit types   | Regulation Section Title   | Regulation Section           | This deficiency's percentage of the total deficiencies cited for all visits |
|--|--|------------------------------|---|
| The day care center shall maintain documentation of the required immunizations or exemptions from immunization, as set forth in this section, in the person's personnel record that is maintained by the day care center.  | Employees or volunteers at day care center; immunization requirements; records; exemptions | H&S 1596.7995 <sub>2</sub>   | 4%  |
| A person shall not be employed or volunteer at a day care center if he or she has not been immunized against influenza, pertussis, and measles. Each employee and volunteer shall receive an influenza vaccination between August 1 and December 1 of each year. | Employees or volunteers at day care center; immunization requirements; records; exemptions | H&S 1596.7995(a)(1)          | 4%  |
| The person who signs the child in/out shall use his/her full legal signature and shall record the time of day. The person who brings the child to, and removes the child from, the center shall sign the child in/out.   | Sign In and Sign Out   | 101229.1(a)(1) & 101229.1(b) | 3%  |
| The child care center shall be clean, safe, sanitary and in good repair at all times.  | Buildings and Grounds  | 101238(a)                    | 3%  |
| Each child shall be free from corporal or unusual punishment, humiliation, intimidation, ridicule, coercion, threat, mental abuse, or other actions of a punitive nature.  | Personal Rights  | 101223(a)(3)                 | 3%  |

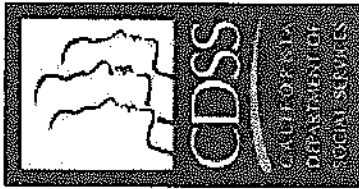
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 School-Age Child Care Centers - Most Common Deficiencies in 2018 for All Visit Types

| Most common deficiencies cited when citations were issued during SACCC all visit types  | Regulation Section Title                       | Regulation Section | This deficiency's percentage of the total deficiencies cited for all visits |
|---|--|--------------------|---|
| Prior to working or volunteering in a licensed child care facility, all individuals subject to a criminal record review shall request a transfer of a criminal record clearance from another facility or Trustline.   | Criminal Record Clearance                      | 101170(e)(2)       | 3%  |
| Disinfectants, cleaning solutions, poisons and other items that could pose a danger if readily available to children shall be stored where inaccessible to children.  | Buildings and Grounds                          | 101238(g)          | 2%  |
| Every licensed child day care center shall have one or more carbon monoxide detectors in the facility that meet the standards established in Chapter 8 (commencing with Section 13260) of Part 2 of Division 12. The department shall account for the presence of these detectors during inspections. | Carbon monoxide detectors required; inspection | H&S 1596.954       | 2%  |
| There shall be a staffing ratio of one teacher and one aide present to every 28 children in attendance. A teacher shall supervise no more than 14 children or with an aide a maximum of 28 children.  | Teacher-Child Ratio                            | 101516.5(b)(1)     | 2%  |
| Each child shall be accorded safe, healthful and comfortable accommodations, furnishings and equipment.   | Personal Rights                                | 101223(a)(2)       | 2%  |
| The name of the child care center director or fully qualified teacher(s) designated to act in the director's absence shall be reported to the Department within 10 days of a change.  | Reporting Requirements                         | 101212(b)          | 2%  |

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 School-Age Child Care Centers - Most Common Deficiencies in 2018 for All Visit Types

| Most common deficiencies cited when citations were issued during SACCC all visit types                | Regulation Section Title | Regulation Section | This deficiency's percentage of the total deficiencies cited for all visits |
|---|--------------------------|--------------------|---|
| Each child shall be accorded dignity in his/her personal relationships with staff, and other persons. | Personal Rights          | 101223(a)(1)       | 2%  |

1. Health and Safety Section 1596.8662 includes subsections that on or before March 30, 2018, a person who, on January 1, 2018, is a licensed child care provider, administrator, or employee of a licensed child day care facility shall complete the mandated reporter training pursuant to paragraphs (2) and (3) of subdivision (a), and shall complete renewal mandated reporter training every two years following the date on which he or she completed the initial mandated reporter training. On and after January 1, 2018, a person who becomes an administrator or employee of a licensed child day care facility shall complete the mandated reporter training provided pursuant to paragraphs (2) and (3) of subdivision (a) within the first 90 days that he or she is employed at the facility and shall complete renewal mandated reporter training every two years following the date on which he or she completed the initial mandated reporter training. Citations issued in this section included one or more subsections.
2. Health and Safety Section 1596.7995 includes subsections that require a person shall not be employed or volunteer at a family day care home if he or she has not been immunized against influenza, pertussis, and measles; the requirement for annual influenza immunization between August 1 and December 1 of each year, specifies the conditions in which a person may be exempt, and the requirement for documentation of required immunizations or exemption be contained in the person's personnel record maintained by the family day care home. Citations issued in this section included one or more of these subsections.



**Community Care Licensing Division  
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**Family Child Care Homes  
Most Common Deficiencies for All Visit Types in 2018**

This is an analysis of the most common deficiencies cited in 2018 for Family Child Care Homes (FCCH) for all visit types. The Quality Assurance Unit reviewed all 15,503 deficiencies cited. This list is limited to those deficiencies that are equal to two percent (2%) or more of the total number of deficiencies cited.

**These requirements can be found in Title 22 of the California Code of Regulations, Division 12.**

| Most common deficiencies cited when citations were issued during FCCH all visit types   | Regulation Section Title  | Regulation Section    | This deficiency's percentage of the total deficiencies cited for all visits |
|---|---|-----------------------|---|
| Commencing September 1, 2016, a person shall not be employed or volunteer at a family day care home if he or she has not been immunized against influenza, pertussis, and measles. Each employee and volunteer shall receive an influenza vaccination between August 1 and December 1 of each year. | Employees or volunteers at family day care home; immunization requirements; records; exemptions | H&S<br>1597.622(a)(1) | 9%  |
| The family day care home shall maintain documentation of the required immunizations or exemptions from immunization, as set forth in this section, in the person's personnel record that is maintained by the family day care home.   | Employees or volunteers at family day care home; immunization requirements; records; exemptions | H&S 1597.622.1        | 8%  |

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 Family Child Care Homes - Most Common Deficiencies in 2018 for All Visit Types

| Most common deficiencies cited when citations were issued during FCCH all visit types   | Regulation Section Title  | Regulation Section                   | This deficiency's percentage of the total deficiencies cited for all visits |
|---|---|--------------------------------------|---|
| <p>The Licensee and other personnel as specified shall complete training on preventive health practices including pediatric cardiopulmonary resuscitation and pediatric first aid, pursuant to Health and Safety Code Section 1596.866.</p>         | <p>Personnel Requirements</p>   | <p>102416(c)</p>                     | <p>7%</p>   |
| <p>Effective January 1, 2018, all staff of Child Care Centers and Family Child Care Homes are required to complete the Mandated Reporter Training. New staff have 90 days to complete the training. The training must be renewed every 2 years.</p> | <p>Availability of information regarding detecting and reporting child abuse and neglect; training for mandated reporter who is licensed day care provider, administrator, or employee of a licensed child day care facility; proof of completion</p> | <p>H&amp;S 1596.8662<sub>2</sub></p> | <p>7%</p>   |
| <p>All homes shall conduct fire and disaster drills at least once every six months and document the date and time of each drill.</p>  | <p>Operation of a Family Child Care Home</p>  | <p>102417(g)(9)(A)(1)</p>            | <p>6%</p>   |
| <p>All homes shall have a current roster of the children.</p>   | <p>Operation of a Family Child Care Home</p>  | <p>102417(g)(8)</p>                  | <p>5%</p>   |

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 Family Child Care Homes - Most Common Deficiencies in 2018 for All Visit Types

| Most common deficiencies cited when citations were issued during FCCH all visit types  | Regulation Section Title                               | Regulation Section        | This deficiency's percentage of the total deficiencies cited for all visits |
|--|--|---------------------------|---|
| Poisons, detergents, cleaning compounds, medicines, firearms and other items which could pose a danger to children shall be stored where they are inaccessible to children.  | Operation of a Family Child Care Home                  | 102417(g)(4)              | 4%  |
| Fireplaces and open-face heaters shall be screened to prevent access by children. The home shall contain a fire extinguisher and smoke detector device which meet standards established by the State Fire Marshal.   | Operation of a Family Child Care Home                  | 102417(g)(1)              | 4%  |
| Licensee shall document and maintain each child's immunizations as long as the child is enrolled.  | Immunizations  | 102418(g)                 | 3%  |
| All individuals subject to a criminal record review as specified in Section 1596.871 prior to working, residing or volunteering in a licensed home, shall obtain a California clearance or a criminal record exemption as required by the Department.  | Criminal Record Clearance                              | 102370(d)(1)              | 3%  |
| An emergency information card shall be maintained for each child and shall include the child's full name, telephone number and location of a parent or other responsible adult to be contacted in an emergency, the name and telephone number of the child's physician and the parent's authorization for the licensee or registrant to consent to emergency medical care. | Operation of a Family Child Care Home & Childs Records | 102417(g)(7)<br>102421(b) | 3%  |
| The home shall be free from defects or conditions which might endanger a child.  | Operation of a Family Child Care Home                  | 102417(g)                 | 2%  |

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 Family Child Care Homes - Most Common Deficiencies in 2018 for All Visit Types

| Most common deficiencies cited when citations were issued during FCCH all visit types  | Regulation Section Title                       | Regulation Section | This deficiency's percentage of the total deficiencies cited for all visits |
|--|--|--------------------|---|
| Each child shall be accorded safe, healthful and comfortable accommodations, furnishing and equipment.   | Personal Rights                                | 102423(a)(2)       | 2%  |
| Every family day care home for children shall have one or more carbon monoxide detectors in the facility that meet the standards established in Chapter 8 (commencing with Section 13260) of Part 2 of Division 12. The department shall account for the presence of these detectors during inspections. | Carbon Monoxide Detectors Required; Inspection | H&S 1597.543       | 2%  |
| The capacity specified on the license shall be the maximum number of children for whom care can be provided.   | Staffing Ratio and Capacity                    | 102416.5(a)        | 2%  |
| The licensee shall maintain, in each child's record, the signed and dated notice form LIC 995A, Parents Rights Notice.   | Childs Records                                 | 102421(a)          | 2%  |



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Family Child Care Homes - Most Common Deficiencies in 2018 for All Visit Types

1. Health and Safety Section 1597.622 includes subsections that require a person shall not be employed or volunteer at a family day care home if he or she has not been immunized against influenza, pertussis, and measles; the requirement for annual influenza immunization between August 1 and December 1 of each year, specifies the conditions in which a person may be exempt, and the requirement for documentation of required immunizations or exemption be contained in the person's personnel record maintained by the family day care home. Citations issued in this section included one or more of these subsections.
2. Health and Safety Section 1596.8662 includes subsections that on or before March 30, 2018, a person who, on January 1, 2018, is a licensed child care provider, administrator, or employee of a licensed child day care facility shall complete the mandated reporter training provided pursuant to paragraphs (2) and (3) of subdivision (a), and shall complete renewal mandated reporter training every two years following the date on which he or she completed the initial mandated reporter training. On and after January 1, 2018, a person who becomes an administrator or employee of a licensed child day care facility shall complete the mandated reporter training provided pursuant to paragraphs (2) and (3) of subdivision (a) within the first 90 days that he or she is employed at the facility and shall complete renewal mandated reporter training every two years following the date on which he or she completed the initial mandated reporter training. Citations issued in this section included one or more subsections.